

## **CENTERS FOR APPLIED TECHNOLOGY PROGRAM RULES**

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## **CENTERS FOR APPLIED TECHNOLOGY PROGRAM RULES**

### **1.0 GENERAL INFORMATION**

The Arkansas Science & Technology Authority was created by Sections 15-3-101 through 15-3-123 of the Arkansas Code of 1987 Annotated, and given the mission of bringing the benefits of science and advanced technology to the people and State of Arkansas. Programs established by the Arkansas Legislature require the Authority to adopt certain rules for carrying out its mission.

#### **1.1 PROGRAM NAME**

These rules govern the Science & Technology Authority's Centers for Applied Technology Program.

#### **1.2 PURPOSE**

The purpose of the Centers for Applied Technology Program is to encourage greater collaboration between private enterprises and Arkansas colleges and universities in the development and application of new technologies.

#### **1.3 AUTHORIZATION**

The Centers for Applied Technology Program is authorized by Act 803 of 1989. The power to make rules and guide lines for programs is granted to the Authority by Section 15-3-110 of the Arkansas Code of 1987 Annotated.

### **2.0 GENERAL PROGRAM INFORMATION**

The Centers for Applied Technology Program was created to identify, designate, and fund Centers in technological areas with significant potential for economic growth and development in Arkansas, or which the application of new technologies could significantly enhance the productivity and stability of Arkansas enterprises.

## Centers for Applied Technology Program Rules

It is the intent of the Authority's Board of Directors to encourage competitive proposals within identified technological areas. These areas will be described in the Proposal Guidelines for the Centers for Applied Technology Program.

### 2.1 DEFINITIONS

For the purpose of the Authority's Centers for Applied Technology Program, the following words and phrases have been defined.

2.1.1 "Center for Applied Technology" or "Center" shall mean a college or university or university-affiliated unit, or a consortium of such units, which conducts a continuing program of basic and applied research, development, and technology transfer in one or more technological areas, in collaboration with and through the support of private enterprises.

2.1.2 "Colleges and Universities" shall mean those state-supported or independent, not-for-profit institutions of higher education in Arkansas which offer degrees at the baccalaureate level or higher.

### 3.0 ELIGIBILITY

Eligible projects will be those that satisfy the following requirements.

#### 3.1 PROPOSING INSTITUTION

Proposals for Centers for Applied Technology must be submitted by an institution of higher learning within Arkansas.

#### 3.2 PROPOSED CENTER

The proposed project must propose the creation of a Center concentrating its research and development efforts on specific scientific and technological areas.

#### 3.3 CONFLICT OF INTEREST

No Director, officer, or employee of the Authority for purpose of personal gain, shall have or attempt to have, directly or indirectly, any interest in the contract or agreement of the Authority in connection with the Centers for Applied Technology Program.

## Centers for Applied Technology Program Rules

It shall not be a conflict of interest for the Authority to permit any proposing group with which a Director of the Authority is affiliated to participate in this program providing that such Director shall promptly disclose the nature of the affiliation to the Board of Directors.

### 4.0 APPLICATION PROCESS

Proposals for the Centers for Applied Technology Program shall be submitted in a format prescribed by the Authority. The following information shall be included.

#### 4.1 APPLICATION

##### 4.1.1 A Project Summary and Cover Sheet which contains the following information:

4.1.1.1 Name of the institution applying for funds to establish a Center for Applied Technology;

4.1.1.2 Name of the principal investigator(s);

4.1.1.3 Name and address of the institutional authorizing officer;

4.1.1.4 Mailing address of the principal investigator(s);

4.1.1.5 Telephone number of the institutional authorizing officer;

4.1.1.6 Telephone number of the principal investigator(s);

4.1.1.7 Title of the project;

4.1.1.8 Amount of funds requested from the Authority;

4.1.1.9 Project abstract;

4.1.1.10 Dated signature of the institutional authorizing officer; and

4.1.1.11 Dated signature of the principal investigator(s).

4.1.2 A description of the scientific and technological focus, its research and development plans of the proposed Center, and its relevance to the Arkansas economy.

4.1.3 A description of the proposed Center's technology transfer and technology development efforts geared to benefit specific industries within Arkansas.

## Centers for Applied Technology Program Rules

- 4.1.4 A description of the organizational structure and management plan for the proposed Center.
- 4.1.5 A detailed description of the budget for an initial two-year period, and a projected budget for the following three years.
- 4.1.6 A description of institutional, other governmental, and private funds to be used by the proposed center.

### 4.2 PROPRIETARY INFORMATION

Proprietary information contained in a Centers proposal will be maintained subject to the limitations of the Freedom of Information Act, provided that the proprietary information is clearly marked.

### 4.0 EVALUATION

Proposals meeting all eligibility criteria will be evaluated on the basis of the following criteria.

### 5.1 EVALUATION CRITERIA

- 5.1.1 An established record of research, development, and instruction in the area of technology.
- 5.1.2 The capacity to conduct research and development activities in collaboration with private enterprises.
- 5.1.3 The capacity to secure substantial private and other government funding for the proposed Center.
- 5.1.4 A willingness to work cooperatively with other colleges and universities in conducting research and development activities, and in disseminating research results and to work with institutions of higher learning to enhance the quality of technological education in the area of technology involved.
- 5.1.5 The ability and willingness to cooperate with the Authority, the Arkansas Industrial Development Commission, the Arkansas Department and Board of Higher Education, and other economic development agencies in promoting the growth and development in Arkansas of enterprises based upon or benefiting from the areas of technology involved.

## Centers for Applied Technology Program Rules

### 5.2 AWARDS

The final decision to commit funds to an applicant shall be made by the Board of Directors of the Authority. The Board's decision will be based on information derived from the review process and the Board's own interpretation of that information. The Board's decision shall be final.

### 5.3 AWARD LETTERS

Cash awards will be distributed by the Authority on the recommendation of the Board of Directors based on the terms and conditions agreed upon by the Board of Directors of the Authority and the sponsoring institutions of higher learning.